FCRM PTO-1390 (REV. 10-2003) TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY 'S DOCKET NUMBER SCH-00092

U.S.APPLICATION NO. (If known, see 37 CFR 1.5

I IIILE OF I	NVENI	ION		
			LIGHT	01110

CONCERNING	TILING OIN	JLR 33 0.5.C. 371	-01200043						
INTERNATIONAL APPLICATIO PCT/DE02/04738	N NO. INTERI	NATIONAL FILING DATE December 23, 2002	PRIORITY DATE CLAIMED December 24, 2001						
TITLE OF INVENTION METHOD FOR PRODUCING LI	GHT-GUIDING LEI	D BODIES IN TWO SPATIALLY A	ND TEMPORALLY SEPARATE STEPS						
APPLICANT(S) FOR DO/EO/US									
• •	T	horsten Ricking et al.							
Applicant herewith submits to the U	Inited States Design	nated/Elected Office (DO/EO/US)	the following items and other information:						
1. This is a FIRST submission	of items concerning	ng a filing under 35 U.S.C. 371.							
2. This is a SECOND or SUB	SEQUENT submis	ssion of items concerning a filing	under 35 U.S.C. 371.						
3. This is an express request to items (5), (6), (9) and (21)	begin national exa indicated below.	amination procedures (35 U.S.C. 3	371(f)). The submission must include						
4. The US has been elected (A	•								
5. A copy of the International			Thurs)						
=	 a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. 								
	· · · · · · · · · · · · · · · · · · ·	s filed in the United States Receiv	ing Office (RO/US)						
		tional Application as filed (35 U.S	•						
a. is attached hereto.		ional Application as filed (55 o.c.	.c. 371(c)(2)).						
		35 U.S.C. 154(d)(4).							
7. Amendments to the claims	of the International	Application under PCT Article 19	9 (35 U.S.C. 371(c)(3))						
a. are attached heret	o (required only if	not communicated by the Internat	ional Bureau).						
b. have been commu	nicated by the Inte	rnational Bureau.							
c. have not been ma	de; however, the tir	ne limit for making such amendm	ents has NOT expired.						
d. have not been made	de and will not be r	nade.							
8. An English language transla	ition of the amendi	nents to the claims under PCT Ar	ticle 19 (35 U.S.C. 371 (c)(3)).						
9. An oath or declaration of the	e inventor(s) (35 U	.S.C. 371(c)(4)).							
10. An English language transla Article 36 (35 U.S.C. 371(c		s of the International Preliminary	Examination Report under PCT						
Items 11 to 20 below concern d	ocument(s) or infe	ormation included:							
11. An Information Disclosur	e Statement under	37 CFR 1.97 and 1.98.							
12. An assignment document	for recording. A se	eparate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.						
13. 🔽 A preliminary amendmen	t.	1							
14. An Application Data Shee	et under 37 CFR 1.	76.							
15. A substitute specification.	ı		•						
16. A power of attorney and/	or change of addre	ss letter.							
17. A computer-readable form	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.								
18. A second copy of the pub	ished international	application under 35 U.S.C. 154((d)(4).						
19. A second copy of the Eng	lish language trans	lation of the international applica	tion under 35 U.S.C. 154(d)(4).						
20. Other items or information		g Certificate No. ER 575 695 3	40 US;						

DT09 Rec'd PCT/PTO 23 JUN 2004

4 U	750004	ITERNATIONAL APPLICATION NO. PCT/DE02	2/04738		RNEY'S DOC 00092	KETNUMBER
	ring fees are submitted:			CALCULA	TIONS	PTO USE ONLY
_	L FEE (37 CFR 1.492 (····
Neither internation	nal preliminary examina	ation fee (37 CFR 1.482)				
nor international se and International S	earch fee (37 CFR 1.44 Search Report not prepa	5(a)(2)) paid to USPTO arred by the EPO or JPO	\$1080.00			
International prelim USPTO but Intern	ninary examination fee ational Search Report p	e (37 CFR 1.482) not paid to prepared by the EPO or JPC	9\$920.00			
International prelimbut international se	ninary examination fee earch fee (37 CFR 1.44	e (37 CFR 1.482) not paid to 5(a)(2)) paid to USPTO	USPTO \$770.00			
International prelim but all claims did n	ninary examination fee not satisfy provisions of	: (37 CFR 1.482) paid to US f PCT Article 33(1)-(4)	SPTO \$730.00			
		(37 CFR 1.482) paid to US				
		Article 33(1)-(4)				
ENTE	R APPROPRIAT.	E BASIC FEE AMO	UNT =	\$ 1080.00		
Surcharge of \$130.0 rom the earliest claim	0 for furnishing the oatimed priority date (37 cm	th or declaration later than 3 CFR 1.492(e)).	30 months	\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	5 - 20 =	0	x \$18.00	\$		
dependent claims	1 -3 =	0	x \$86.00	\$		
IULTIPLE DEPEN	DENT CLAIM(S) (if a	applicable)	+ \$290.00	\$		
		OF ABOVE CALCU		\$ 1,080.00		
Applicant claim are reduced by	ns small entity status. § 1/2.	See 37 CFR 1.27. The fees	indicated above	\$		
		SI	UBTOTAL =	\$1,080.00		
rocessing fee of \$1 rom the earliest claim	30.00 for furnishing thimed priority date (37.0)	e English translation later the CFR 1.492(f)).	han 30 months	\$	•	-
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DT09 RECOPCT/PTO 23 JUN 2004 WARN, HÖFFMANN, MILLER & LALONE, P.C.

Intellectual Property Matters PATENTS, TRADEMARKS AND COPYRIGHTS

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June 23, 2004

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Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

EXPRESS MAILING CERTIFICATE

Applicant: Thorsten Ricking et al.

Serial No. (if any): To Be Assigned

For: METHOD FOR PRODUCING LIGHT-GUIDING LED BODIES IN TWO

SPATIALLY AND TEMPORALLY SEPARATE STEPS

Docket: SCH-00092

Attorney: Philip R. Warn

ER575695340US

"Express Mail" Mailing Label Number ER 575 695 340 US

I hereby certify and verify that the accompanying Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (in duplicate); along with the enclosures noted therein (including check in the amount of \$1,080.00) are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450.